

PDR

Property-Directed Reachability

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(or IC3)

- 1 Incremental **C**onstruction of
- 2 Inductive **C**lauses for
- 3 Indubitable **C**orrectness

Symbolic Transition System

Described by a set of logic formulas:

- 1 Initial condition (usually a single state) I
- 2 State variables x_n
- 3 Transitions $T(x, x')$

A Simple Symbolic Transition System

- State variables x_0, x_1
- State (conjunction of state variables) $x_0 = A, x_1 = B$
- Initial state $x_0 = 10, x_1 = 12$
- Transitions $T_0 : x'_0 := x_0 + 1$ and $T_1 : x'_1 := x_1 \times 3$

Literals

Literals describe a boolean variable or its negation.

- $x_0 = 4$
- $x_1 < 3$
- $\neg(x_1 = 100)$

Formulae

A formula $F(s)$ is a conjunction of literals.

For example: $F(s) = (x_0 = 4) \wedge (x_1 < 3) \wedge \neg(x_1 = 100)$

An assignment s to at least all variables in $F(s)$ either:

- satisfies the formula (causes $F = \text{true}$), notated as $s \models F(s)$
- does not satisfy the formula, notated as $s \not\models F$.

Reachability

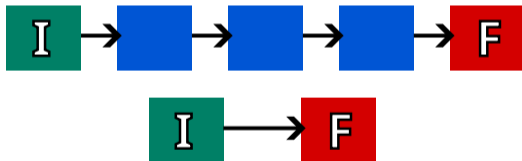
We often want to find out if a formula F (i.e. a *target state*)

- can be satisfied by any state in the model (satisfiability)
- can be reached from any state not satisfying F (inductive invariance)
- can be reached from a given state, usually I (reachability)

The focus of PDR is reachability analysis.

Inductive Reachability

If from I we can reach a state reaching a state reaching a state reaching a state reaching a state reaching $S \models F$, then we can reach $S \models F$ from I .



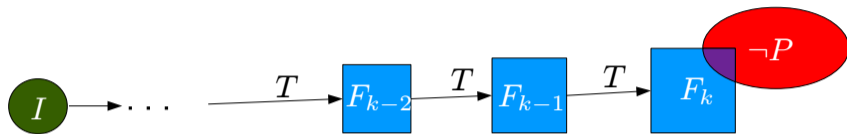
Goal of PDR

Assuming $F(s)$ is not already an inductive invariant,
Find an inductive invariant $\mathbf{P}(s)$ stronger than $F(s)$, such that

- $I \models \mathbf{G}(s)$
- $\mathbf{G}(s) \wedge T(s, s') \models \mathbf{G}(s')$
- $\mathbf{G}(s) \models F(s)$

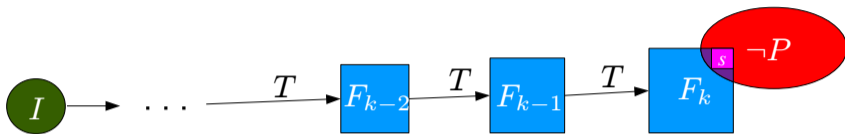
The following slides are borrowed from “Interpolation in SMT and in Verification”, presented by Alberto Griggio at VTSA Summer School in 2015.

A (very) high level view of IC3



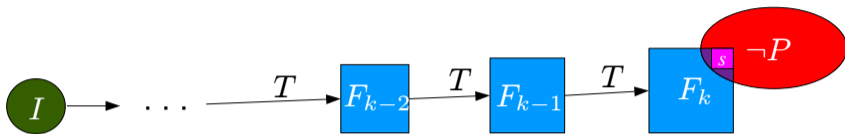
- Given a symbolic transition system and invariant property P , build an **inductive invariant** F s.t. $F \models P$
 - **Trace** of formulae $F_0(X) \equiv I, \dots, F_k(X)$ s.t:
 - for $i > 0$, F_i is a **set of clauses**
overapproximation of states reachable in up to i steps
- $F_{i+1} \subseteq F_i$ (so $F_i \models F_{i+1}$)
- $F_i \wedge T \models F'_{i+1}$
- for all $i < k$, $F_i \models P$

A (very) high level view of IC3



- **Blocking phase:** incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \wedge \neg s \wedge T \wedge s'$
(i.e., check if $F_{k-1} \wedge \neg s \wedge T \models \neg s'$)

A (very) high level view of IC3



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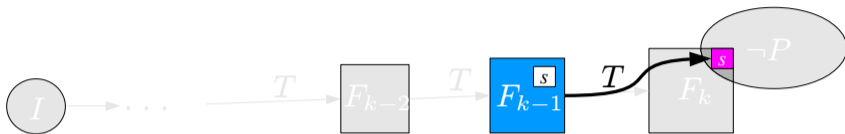
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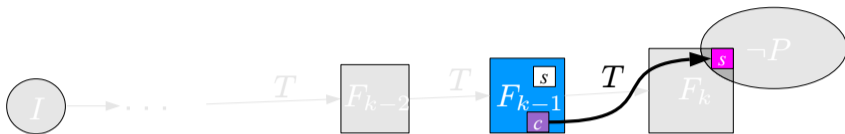
Check if s is inductive relative to F_{k-1}

A (very) high level view of IC3



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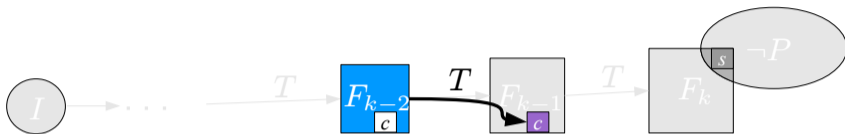
A (very) high level view of IC3



- **Blocking phase:** incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \wedge \neg s \wedge T \wedge s'$
 - **SAT:** s is reachable from $F_{k-1} \wedge \neg s$ in 1 step
 - Get a cube c in the preimage of s and try (recursively) to prove it unreachable from F_{k-2}, \dots
 - c is a counterexample to induction (CTI)

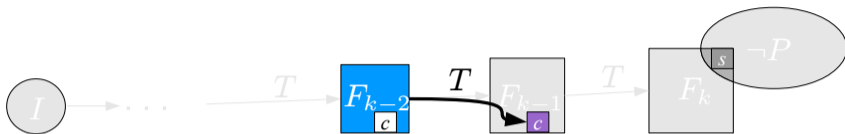
If I is reached,
counterexample
found

A (very) high level view of IC3



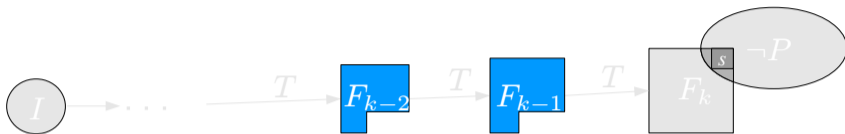
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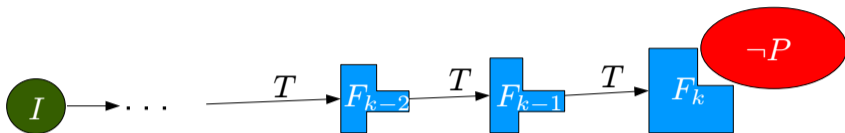
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 - Call SAT solver on $F_{k-2} \wedge \neg s \wedge T \wedge s'$
 - **UNSAT:** $\neg c$ is **inductive relative** to F_{k-2} $F_{k-2} \wedge \neg c \wedge T \models \neg c'$
 - **Generalize** c to g and **block** by adding $\neg g$ to $F_{k-1}, F_{k-2}, \dots, F_1$

A (very) high level view of IC3



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A (very) high level view of IC3



Propagation: extend trace to F_{k+1} and push forward clauses

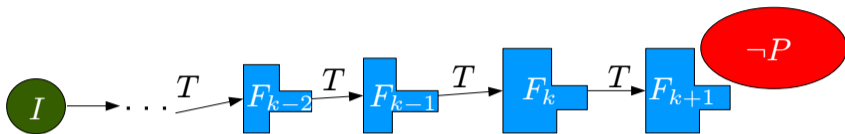
For each i and each clause $c \in F_i$:

Call SAT solver on $F_i \wedge T \wedge \neg c'$

If UNSAT, add c to F_{i+1}

$$F_i \wedge T \models c'$$

A (very) high level view of IC3



Propagation: extend trace to F_{k+1} and push forward clauses

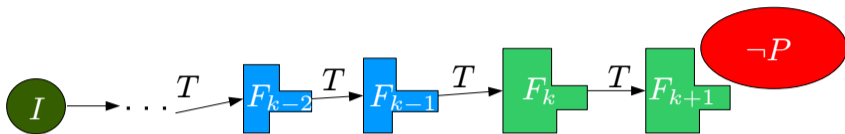
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Propagation: extend trace to F_{k+1} and push forward clauses

For each i and each clause $c \in F_i$:

Call SAT solver on $F_i \wedge T \wedge \neg c'$

If UNSAT, add c to F_{i+1}

$$F_i \wedge T \models c'$$

If $F_i \equiv F_{i+1}$, P is proved,
otherwise start another round of blocking and propagation

IC3 pseudo-code



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```
bool IC3(I, T, P):
  trace = [I] # first elem of trace is init formula
  trace.push() # add a new frame
  while True:
    # blocking phase
    while is_sat(trace.last() & ~P):
      c = extract_cube() # c |= trace.last() & ~P
      if not rec_block(c, trace.size()-1):
        return False # counterexample found

    # propagation phase
    trace.push()
    for i=1 to trace.size()-1:
      for each cube c in trace[i]:
        if not is_sat(trace[i] & ~c & T & c'):
          trace[i+1].append(c)
    if trace[i] == trace[i+1]:
      return True # property proved
```

IC3 pseudo-code



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```
bool rec_block(s, i):
    if i == 0:
        return False # reached initial states
    while is_sat(trace[i-1] & ~s & T & s'):
        c = get_predecessor(i-1, T, s')
        if not rec_block(c, i-1):
            return False
    g = generalize(~s, i)
    trace[i].append(g)
    return True
```

Correctness (sketch)

- Consider the formula $F_{k-1} \wedge T \wedge s'$ where s is a bad cube
 - If UNSAT, then F_{k-1} is strong enough to block s
 - Since $F_i \wedge T \models F'_{i+1}$, then s is **unreachable in k steps or less**
 - Since $F_i \models F_{i+1}$, then we can add s to all $F_j, j \leq k$

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 - Since $F_i \models F_{i+1}$, then we can add s to all $F_j, j \leq k$
- Consider now the **relative induction** check $F_{k-1} \wedge \neg s \wedge T \wedge s'$
 - We know that $I \equiv F_0 \not\models s$ because $I \models P$ (base case)
 - Since $F_i \models F_{i+1}$, then we know that $\neg s$ holds up to k

Correctness (sketch)

- Consider the formula $F_{k-1} \wedge T \wedge s'$ where s is a bad cube
 - If UNSAT, then F_{k-1} is strong enough to block s
 - Since $F_i \wedge T \models F'_{i+1}$, then s is **unreachable in k steps or less**
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- Consider now the **relative induction** check $F_{k-1} \wedge \neg s \wedge T \wedge s'$
 - We know that $I \equiv F_0 \not\models s$ because $I \models P$ (base case)
 - Since $F_i \models F_{i+1}$, then we know that $\neg s$ holds up to k
- **Propagation**: for each $c \in F_i$, check $F_i \wedge T \wedge \neg c'$
 - we know that c holds up to i , if UNSAT then it holds up to $i+1$
 - since $F_i \models F_{i+1}$, $F_i \wedge T \models F'_{i+1}$ and $F_i \models P$,
if $F_i \equiv F_{i+1}$ then the **fixpoint is an inductive invariant**

- Crucial step of IC3
- Given a relatively inductive clause $c \stackrel{\text{def}}{=} \{l_1, \dots, l_n\}$ compute a **generalization** $g \subseteq c$ that is still inductive

$$F_{i-1} \wedge T \wedge g \models g' \quad (1)$$

- Drop literals from c and check that (1) still holds
 - Accelerate with unsat cores returned by the SAT solver
 - Using **SAT under assumptions**
- However, **make sure the base case still holds**
 - If $I \not\models c \setminus \{l_j\}$, then l_j cannot be dropped

Simple iterative generalization



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```
void indgen(c, i):
  done = False
  for iter = 1 to max_iters:
    if done:
      break
    done = True
    for each l in c:
      cand = c \ {l}
      if not is_sat(I & cand) and
         not is_sat(trace[i] & ~cand & T & cand'):
        c = get_unsat_core(cand)
        rest = cand \ c
        while is_sat(I & c):
          l1 = rest.pop()
          c.add(l1)
        done = False
    break
```

CTI computation



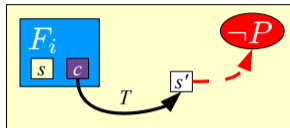
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- When $F_i \wedge \neg s \wedge T \wedge s'$ is satisfiable:

- s reaches $\neg P$ in $k-i$ steps
- s can be reached from F_i in 1 step
 - strengthen F_i by blocking cubes c in the preimage of s



- Extract CTI c from the SAT assignment

- And generalize to represent multiple bad predecessors
- Use unsat cores, exploiting a functional encoding of the transition relation
 - If T is functional, then $c \wedge \text{inputs} \wedge T \models s'$
 - check $\text{inputs} \wedge T \wedge \neg s'$ under assumptions c

SAT-based CTI generalization



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```
void generalize_cti(cti, inputs, next):
  for i = 1 to max_iters:
    b = is_sat(cti & inputs & T & ~next')
    assert not b # assume T to be functional
    c = get_unsat_core(cti)
    if should_stop(c, cti):
      break
    cti = c
```

Access this presentation and a bibliography at:

landonjtaylor.net/pdr